

07-23-01

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**UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
64423/00031Total Pages in this Submission
185**TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

Secure Ecommerce Transaction and User/Product Authentication Management System

and invented by:

Balamani S. Vishwanath and Brian D. Mantz**If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:**☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: **09/847,465**

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having **185** pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Microfiche Appendix *(if applicable)*
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if drawings filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
a. ☐ Formal b. ☒ Informal Number of Sheets 75
4. ☒ Oath or Declaration
a. ☒ Newly executed (original or copy) ☐ Unexecuted
b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
c. ☒ With Power of Attorney ☐ Without Power of Attorney
d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
a. ☐ Paper Copy
b. ☐ Computer Readable Copy
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
☐ First Class ☒ Express Mail (Specify Label No.): EL179924489US

UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Small Entity Statement(s) - Specify Number of Statements Submitted: _____
17. ☒ Additional Enclosures (please identify below):

Application Data Sheet; Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i);
Check for \$40.00

Fee Calculation and Transmittal

CLAIMS AS FILED

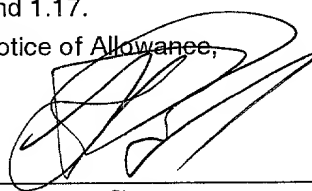
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	22	- 20 =	2	x \$9.00	\$18.00
Indep. Claims	2	- 3 =	0	x \$39.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$373.00

- ☒ A check in the amount of \$373.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 12-1322 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 20, 2001

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PATENT TRADEMARK OFFICE


Signature
Steven S. Boyd, Esq.
Reg. No. 42,353

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	BALAMANI S. VISHWANATH	§	GROUP ART UNIT: TBA
APPL/SER. NO.:	TBA	§	
FILED:	HEREWITH	§	EXAMINER: TBA
TITLE:	SECURE ECOMMERCE	§	
	TRANSACTION AND USER/	§	
	PRODUCT AUTHENTICATION	§	
	MANAGEMENT SYSTEM	§	ATTY/DCKT: 64423/00031

BOX PATENT APPLICATION

Assistant Commissioner For Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:


Enclosed for filing in the above-captioned application are the following documents:

- (1) Utility Patent Application Transmittal Letter (Small Entity);
- (2) Utility Patent Application;
- (3) Certificate of Mailing Under 37 C.F.R. § 1.10;
- (4) Drawings (75 Sheets)
- (5) Application Data Sheet;
- (6) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i);
- (7) Declaration of Balamani S. Vishwanath and Brian D. Mantz;
- (8) Assignment (2 pages);
- (9) Recordation For Cover Sheet (2 pages);
- (10) Power of Attorney;
- (11) Check for \$373.00;
- (12) Check for \$40.00; and
- (13) Postcard.

Applicant believes the required fee for filing the above papers is \$413.00 (\$373.00 for Application; \$40.00 for Assignment Recordation). Checks in the amount of \$373.00 and \$40.00 respectively, are enclosed. If however, the Applicant is in error, the Commissioner is hereby authorized to charge the Deposit Account No. 12-1322 of Locke Liddell & Sapp LLP under Order No. 64423/00031 for any additional fees and/or credits during the entire pendency of this application.

A duplicate of this transmittal letter is enclosed for accounting purposes.

Respectfully submitted,



Dated: July 20, 2001

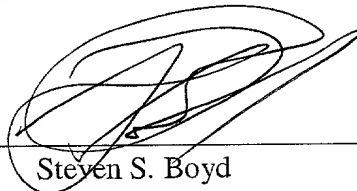
Steven S. Boyd
Registration No.: 42,353

LOCKE LIDDELL & SAPP LLP
600 Travis, Suite 3400
Houston, Texas 77002-3095
Telephone No.: (713) 226-1218
Facsimile No.: (713) 223-3717

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on the date indicated below, in an envelope as "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, Mailing Label Number EL179924489US, addressed to the Assistant Commissioner For Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

DATED: July 20, 2001


Steven S. Boyd

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Balamani Vishwanath, et al

Title

Secure eCommerce Transaction and User
Product Authentication Management System

Atty Docket Number

64423/00031

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 20, 2001

Date



Signature

Steven S. Boyd

Reg. No. 42,353

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PATENT TRADEMARK OFFICE

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Balamani S. Vishwanath; Brian D. Mantz**

Docket No.

64423/00031

Serial No.

TBA

Filing Date

JULY 20, 2001

Examiner

TBA

Group Art Unit

TBAInvention: **Secure Ecommerce Transaction and User/Product Authentication Management System**I hereby certify that this **Continuation-in-Part Application along with the required papers***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **July 20, 2001***(Date)***Steven S. Boyd***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EL179924489US***("Express Mail" Mailing Label Number)****22904*****22904**

PATENT TRADEMARK OFFICE

Note: Each paper must have its own certificate of mailing.